JUL 3 1 2007 BY THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Confirmation No. 7170

Toshio HAYASHI

Attorney Docket No. 2006 0436A

Serial No. 10/574,110

Group Art Unit 1621

Filed June 9, 2006

Examiner Jennifer Y. Cho

Mail Stop: Amendment

METHOD FOR OXIDATION OF AROMATIC: COMPOUND HAVING ALKYL SUBSTITUENT, METHOD FOR PRODUCTION OF AROMATIC ALDEHYDE COMPOUND, AND METHOD FOR PRODUCTION OF AROMATIC CARBOXYLIC ACID ESTER

O CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT

ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

		each U.S. Patent and U.S. Patent application publication;							
	[]	each reference previously cited in the international application PCT/; and/or							
	0	each reference previously cited in prior parent application Serial No.							
1a.	[] This	Information Disclosure Statement is submitted:							

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within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [X] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [X] the certification of paragraph 2 below is provided, or
- (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. [X] that each item of information contained in this Information Disclosure

 Statement was first cited in any communication from a foreign patent office in a

 counterpart foreign application not more than three months prior to the filing of
 the Statement, or
- b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

- 3. [] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
- 4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
 - a. [] a full or partial English language translation submitted herewith,
 - b. [] a foreign patent office search report (in the English language) submitted herewith,
 - c. [] the concise explanation contained in the specification of the present application at page,
 - d. [] the concise explanation set forth in the attached English language abstract,
 - e. [] the concise explanation set forth below or on a separate sheet attached to the reference:
- 5. [X] A foreign patent office search report (Supplementary European Search Report) citing one or more of the references is enclosed.
- 6. [] <u>Statement Under 37 CFR 1.704(d)</u>

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Toshio HAYASHI

Amy E. Shmid

Registration No. 55,965 Attorney for Applicant

AES/nrj Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 July 31, 2007

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FORM PTO 1449 (<i>modified</i>) U.S. DEPARTMENT OF COMMERCE				ATTY DOCKET NO. SERIAL NO. 10/574,110 JUL 3 1 2007						760		
PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S)				APPLICANT Toshio HAYASHI FILING DATE GROUP								
(Use several sheets if necessary) Date Submitted to PTO: July 31, 2007				FILING DATE GROUP June 9, 2006 1621								
			U.S	S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME CLASS SUB		SUBCLASS	ASS FILING DATE IF APPROPRIATE				
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